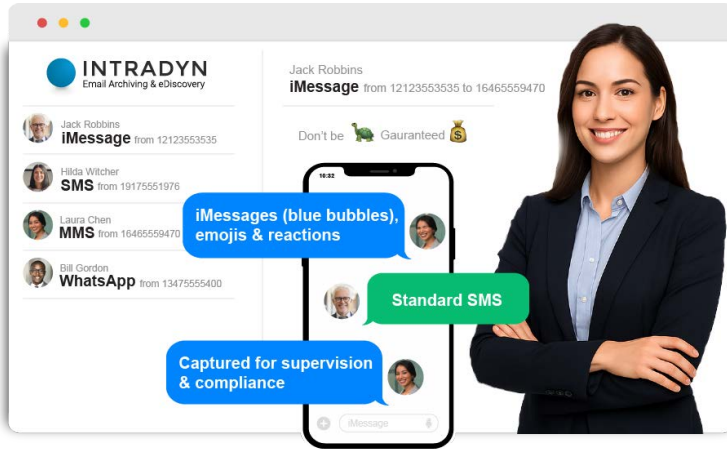


SEC and FINRA Compliant Text Message Archiving



Comply with Evolving Regulations

Mobile lets you deepen client relationships and grow revenue but the thought of having to sift through employees' personal texts just to monitor client interaction causes many CCOs to ban mobile.

Therefore, you need Intradyn, so you can allow mobile and supervise "business" texts same way you monitor "business email" and not employee's personal email.

Preserve Mobile Communication

Intradyn captures mobile content in real time and securely stores it in a centralized archive. All SMS/MMS/, RCS, WhatsApp and text messages – including metadata – are saved, categorized and indexed for fast retrieval.

FINRA: *"...firms must retain records of text messaging apps or chat services"*

SEC: *"...must archive text/SMS messaging and apps..."*

Email Archive Agnostic – leverage your existing Archive & lexicon or use Intradyn's Archive

View All Text Message Metadata & Content

Review mobile attachments the same as you would an email attachment and add tags, apply legal holds, and redact content to support eDiscovery efforts using our one-click detail drill-down capability.

Search, Retrieve & Produce

Intradyn enables you to produce mobile communications in your choice of native or standard non-native format, making it easy to comply with industry regulations, including SEC, FINRA, MiFID II, CFTC, FCA and more.

Flexible Capture ensures Adoption

- Device Level Archiving (DLA) for iMessage & RCS
- WhatsApp Archiver (WAA)
- App Level Archiving (ALA) for BYOD
- Carrier Capture Archiver (For Corporate Devices)

Flexible Archiving Options

Intradyn's flexible archiving options enable us to support any ownership model, including bring your own device, and our solution keeps business separate from personal, so you don't have to sift through employee personal texts for supervision.

Compatible with All Major Carriers & Devices

Verizon, AT&T, T-Mobile and more – Intradyn supports all major mobile service providers. Our archiving software is also compatible with all mobile devices, including Apple, Google, LG and Samsung products.



Questions? Contact a Solution Team Expert: +1(888)979-8880

Approaches to Meet Compliance & Make Adoption Frictionless



DLA iMessage Capture
Your team uses iMessage and you need comprehensive coverage.

- ✓ Zero compliance blind spots (captures iMessage)
- ✓ Employees use familiar native apps
- ✓ Both Corporate Owned (COD) & BYOD support



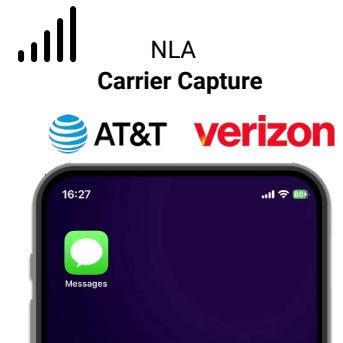
WAA WhatsApp Capture
Your clients prefer WhatsApp and you're losing business by saying no.

- ✓ Native WhatsApp App experience
- ✓ Captures messages, media, reactions, emojis, everything
- ✓ Support for Messenger & Business versions



ALA App Based Capture
Designed for BYOD policies and respect of employee privacy.

- ✓ 100% employee privacy protection builds trust
- ✓ Use existing office phone numbers for texting
- ✓ One device handles both business and personal securely



NLA Carrier Capture
Your firm has issued Corporate Owned Devices (COD), zero friction adoption.

- ✓ Complete employee buy-in (100% Firm controlled)
- ✓ Bulletproof compliance even if phones are lost/stolen
- ✓ Works with any device (even flip phones!)

The Magic we provide is converting SMS to an email format and delivering to your archive...

1 – Subject Line

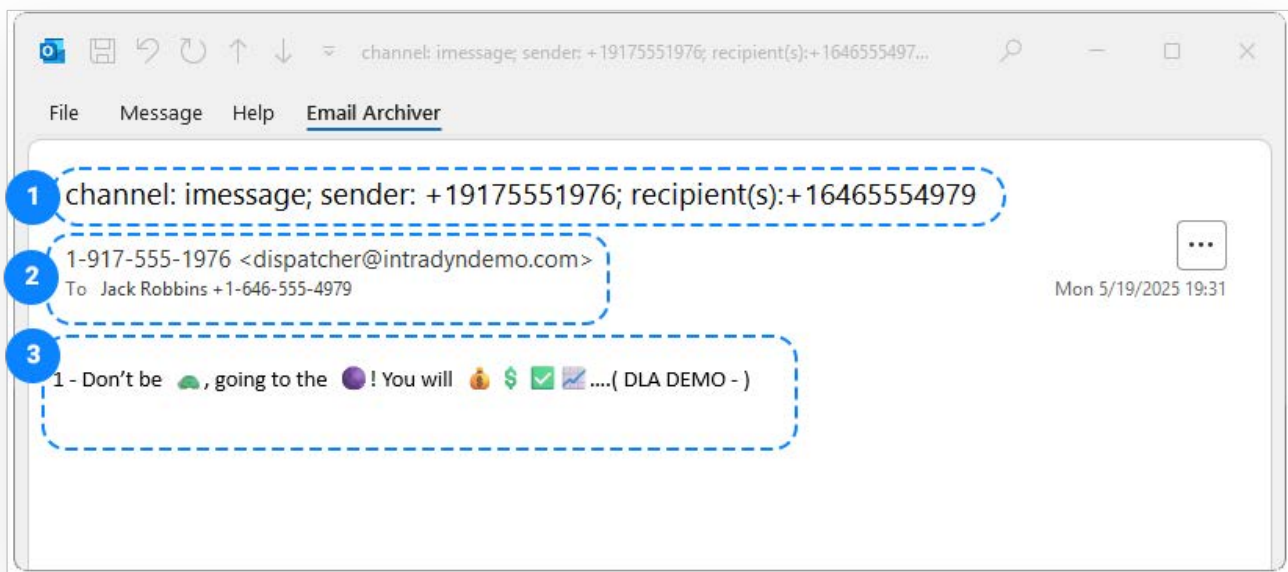
Identifies message type (iMessage, SMS, WhatsApp, etc) so you can constrain supervision to just Mobile Messages.

2 – To/From Fields

See participants' numbers with the employee side enriched including Name & email so you don't have to memorize everyone's number.

3 – Body Section

You get the full payload of the mobile message including emojis, reactions and multimedia attachments.



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